

DT07 Rec'd PCT/PTO 0 1. DEC 2004

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <small>FORM PTO-1390 (REV 11-98)</small>		ATTORNEY'S DOCKET NUMBER 24460N2PCT/US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/EP2003/005796	INTERNATIONAL FILING DATE June 3, 2003	PRIORITY DATE CLAIMED June 3, 2002
TITLE OF INVENTION METHOD FOR HEATING AND COOLING A ROOM AND A BUILDING WITH A PLURALITY OF ROOMS		
APPLICANT(S) FOR DO/EO/US Klaus FIEBACK, et al		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. (PCT/IB/308)</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An/oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (3 pages) <i>unsigned</i></p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11. to 16. below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (Copy of International Search Report from EPO listing references and their relevance (5 pages in English))</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> XXUS031024291634XX ANNEXES, IF ANY, ARE NOT TO BE ENTERED</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: WO 2003/102484 (cover sheet - with abstract, 2 pages)</p> <p>17. <input checked="" type="checkbox"/> PCT/IB/308</p> <p>18. <input checked="" type="checkbox"/> CLAIM IS HEREBY MADE OF THE BENEFIT OF THE FILING DATES OF GERMAN PATENT APPLICATIONS: 102 24 656.4 filed June 3, 2002 102 39 785.6 filed August 29, 2002 103 21 646.4 filed May 13, 2003 UNDER 35 USC 119</p> <p>19. <input checked="" type="checkbox"/> EXPRESS MAIL mailing label No. EF024291634US deposited December 1, 2004</p>		

U.S. APPLICATION NO. (if known) 10/517124		INTERNATIONAL APPLICATION NO. PCT/EP2003/005796	ATTORNEY'S DOCKET NUMBER 24460N2/PCT																																																				
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$50.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$160.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00		CALCULATIONS PTO USE ONLY ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 950.00 Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$ <table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td></td> <td>X \$78.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$260.00</td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE CALCULATIONS</td> <td></td> <td>\$ 950.00</td> </tr> <tr> <td colspan="2">Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td> <td></td> <td>\$</td> </tr> <tr> <td colspan="2">SUBTOTAL =</td> <td colspan="2">\$ 950.00</td> </tr> <tr> <td colspan="2">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</td> <td colspan="2">\$</td> </tr> <tr> <td colspan="2">TOTAL NATIONAL FEE =</td> <td colspan="2">\$ 950.00</td> </tr> <tr> <td colspan="2">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td colspan="2">\$</td> </tr> <tr> <td colspan="2">TOTAL FEES ENCLOSED =</td> <td colspan="2">\$ 950.00</td> </tr> <tr> <td colspan="2"></td> <td>Amount to be: <u>refunded</u></td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td>charged</td> <td>\$</td> </tr> </tbody></table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =		X \$18.00	Independent claims	- 3 =		X \$78.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	TOTAL OF ABOVE CALCULATIONS			\$ 950.00	Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			\$	SUBTOTAL =		\$ 950.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +		\$		TOTAL NATIONAL FEE =		\$ 950.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$		TOTAL FEES ENCLOSED =		\$ 950.00				Amount to be: <u>refunded</u>	\$			charged	\$
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																							
SEND ALL CORRESPONDENCE TO: MARTIN A. FARBER 866 United Nations Plaza, Suite 473 New York, NY 10017 Tel (212) 758-2878 Fax (212) 758-2913		SIGNATURE:  <u>MARTIN A. FARBER</u> NAME <u>Reg. No. 22,345</u> REGISTRATION NUMBER																																																					